



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Tsuchida et al.  
Serial No.: 10/799,128  
Filed: March 12, 2004  
For: PORPHYRIN COMPOUND, ALBUMIN INCLUSION  
COMPOUND THEREOF AND ARTIFICIAL OXYGEN  
CARRIER  
Art Unit: 1624  
Examiner: Not yet assigned  
Confirmation No.: 7075  
Customer No.: 27,623

Attorney Docket No.: 0001606/2215USU

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with applicants' duty of disclosure under 37 C.F.R. §1.56, we are enclosing form PTO-1449 listing information that may be material to the patentability of this application.

We are also enclosing a copy of each of the following citations that are listed in form PTO-1449:

EP Publication No. 0 739 634.

Article entitled, "SYNTHESSES AND NMR CHARACTERIZATION OF CHELATED  
HEME MODELS OF HEMOPROTEINS."

It is applicants' belief that none of the above citations describe that which is claimed in the present invention.

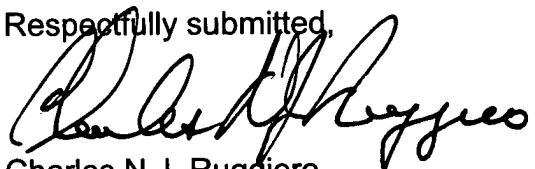
It should be understood that attention has been called to the citations that have been deemed to be pertinent to the claimed present invention. In concluding what was pertinent, the criteria employed was considered most appropriate in light of the invention shown in the present application. However, the Examiner or others may deem some other criteria to be just as appropriate or more appropriate. Therefore, the Examiner is respectfully urged to review the listed citations and to make the usual careful independent search for other prior art that may be pertinent.

Since this Information Disclosure Statement is being filed prior to the issuance of the first Office Action based on the merits, no petition or fee is required.

This paper contains only an IDS in compliance with 37 C.F.R. §§ 1.97 and 1.98. Each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. §1.56(c) more than thirty days prior to the filing of this IDS. Therefore, pursuant to 37 CFR 1.704(d), this paper should not be considered a failure to engage in reasonable efforts to conclude prosecution (processing or examination) of the application.

Applicants respectfully request favorable consideration and that this application be passed to allowance.

Respectfully submitted,



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT TRANSMITTAL FORM

Applicants:  Tsuchida et al.

Serial No.: 10/799,128

Filed: March 12, 2004

For: PORPHYRIN COMPOUND, ALBUMIN INCLUSION COMPOUND  
THEREOF AND ARTIFICIAL OXYGEN CARRIER

Art Unit: 1624

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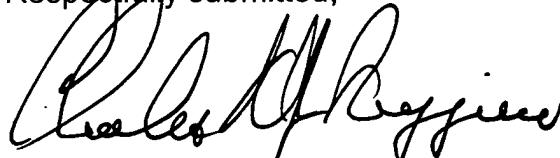
Dear Sir:

We are enclosing:

1. Supplemental Information Disclosure Statement with copies of references;
2. PTO Form 1449;
3. Transmittal letter in duplicate; and
4. Postcard.

Please charge any additional fees or credit any such fees, if necessary to Deposit Account No. 01-0467 in the name of Ohlandt, Greeley, Ruggiero & Perle. A duplicate copy of this sheet is attached.

Respectfully submitted,



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CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: MAIL STOP AMENDMENT, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450, ON AUGUST 17, 2004.

Allison Berkman

NAME

Allison Berkman  
SIGNATURE

8/17/04

DATE

FORM PTO-1449	Docket Number (Optional) 0001606/2215USU	Application Number 10/799,128
<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b>	Applicant Tsuchida et al.	
(Use several sheets if necessary)	Filing Date March 12, 2004	Group Art Unit 1624

## U. S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
	EP 0 739 634	30.10.96	EUROPE			X	

## OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

	European Search Report Application No. EP 04 00 5777 dated July 20, 2004.
	Traylor et al. "SYNTHESSES AND NMR CHARACTERIZATION OF CHELATED HEME MODELS OF HEMOPROTEINS," Journal of the American Chemical Society, Washington, DC, vol. 101, no. 22, 24 October 1979, pages 6716-6731.

**EXAMINER**

**DATE CONSIDERED**

**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.